

Early Stage Cancer Therapies and Surrogate Endpoints



Agenda:

- 7:30-7:55 – Expert remarks (Bick, Thorlund, Machado, Bourgoin)
- 7:55-8:55 – Facilitated discussion and workshop collaboration
- 8:55-9:00 – Closing remarks and reflections / next steps from Bob Bick

Challenge Question:

How do we ensure patient and clinician access to early-stage cancer treatments that rely on surrogate outcomes?

Early Stage Cancer Therapies and Surrogate Endpoints

Kristian Thorlund
McMaster University
Hamilton, ON

Oct 18, 2022

Fun Fact!

**An oncology drug is more likely to get approved
if the trial does NOT include Overall Survival**

Sparse validation in early cancers

- Two reviews of 23 systematic reviews: NSCLC and Breast cancer
- Only 3 trials concentrated on 'early stage' cancer
- All reported on correlations as measure of surrogacy
- None reviewed QoL



Contents lists available at [ScienceDirect](#)

EClinicalMedicine

journal homepage: <https://www.journals.elsevier.com/eclinicalmedicine>

Research Paper

Evaluating the evidence behind the surrogate measures included in the FDA's table of surrogate endpoints as supporting approval of cancer drugs

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^c Harvard Center for Bioethics, Harvard Medical School, Boston, MA, US

The Relationship Between Short-Term Surrogate Endpoint Indicators and mPFS and mOS in Clinical Trials of Malignant Tumors: A Case Study of Approved Molecular Targeted Drugs for Non-Small-Cell Lung Cancer in China

Mingjun Rui^{1,2}, Zijing Wang^{1,2}, Zhengyang Fei^{1,2}, Yao Wu^{1,2}, Yingcheng Wang^{1,2}, Lei Sun¹, Ye Shang^{1,2} and Hongchao Li^{1,2*}

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'A correlate does not a surrogate make'¹

*'the surrogate must be a **correlate** of the true clinical outcome and **fully capture the net effect of treatment on the clinical outcome.**'²*

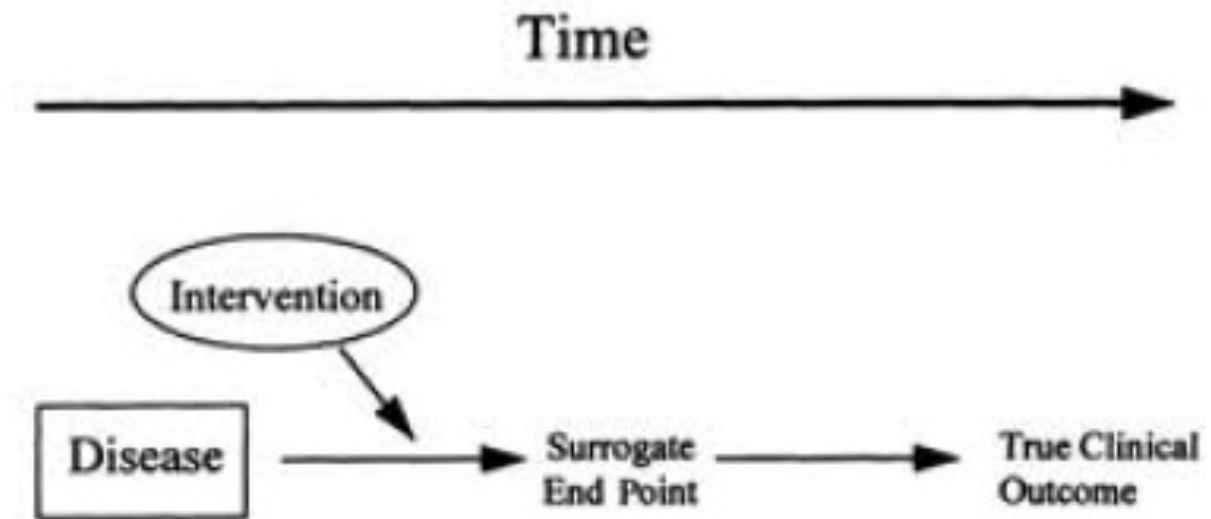
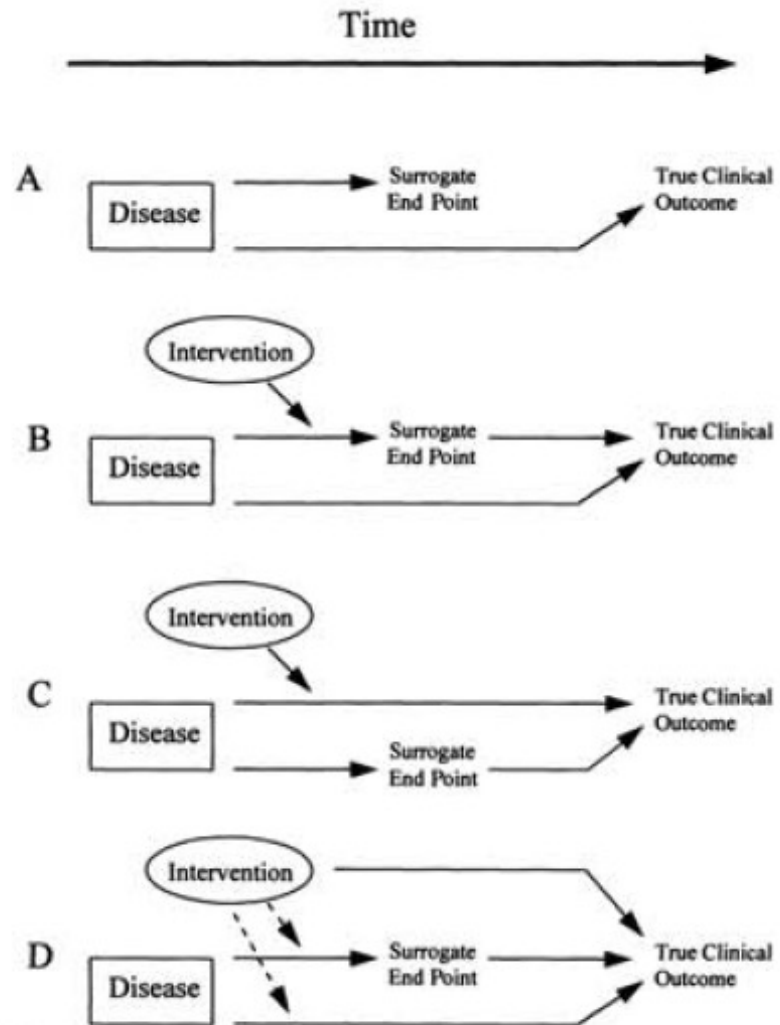


Figure 2. The setting that provides the greatest potential for the surrogate end point to be valid.

¹Fleming & DeMets. *Ann Int Med* 1996. *Surrogate Endpoints in Clinical trials. Are we being Misled?*

²Prentice RL. *Stat Med* 1989. *Surrogate Endpoints in Clinical trials. Definition and Operational Criteria*

What is NOT a good surrogate?



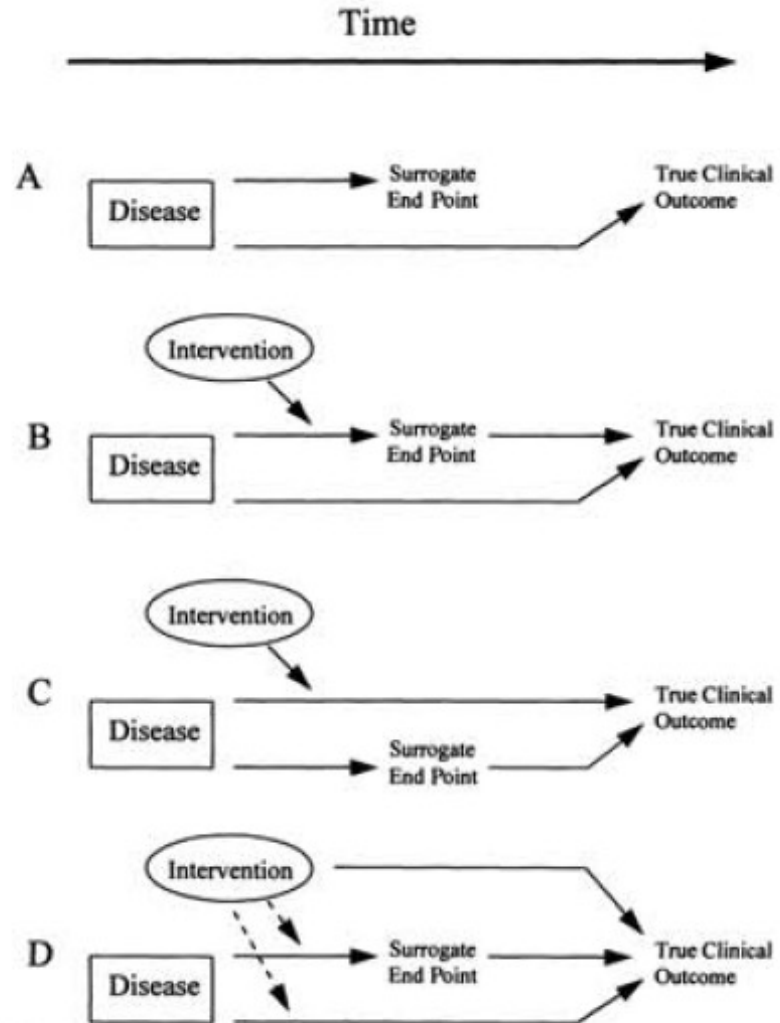
Not involving same pathological process that results in outcome

Intervention only affects pathways of the surrogate outcome

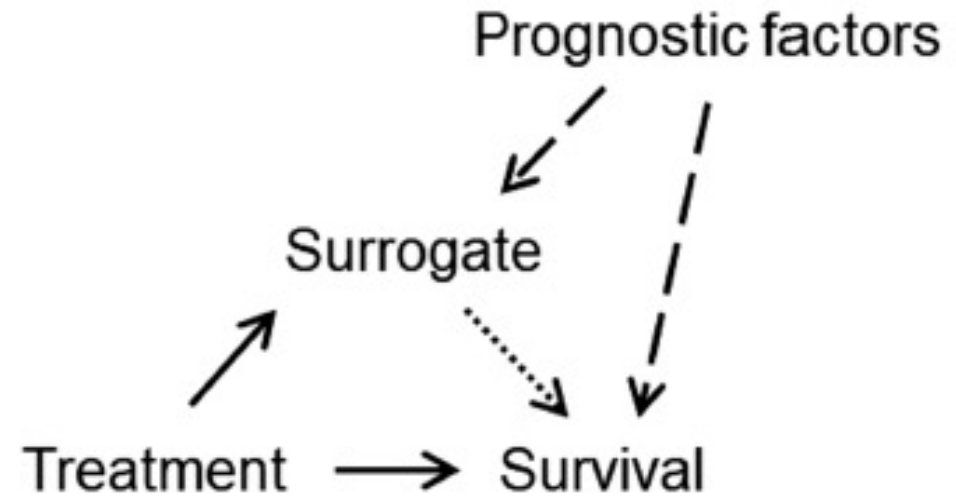
Intervention affects pathways independent of the surrogate outcome

Intervention effect through intended pathways offset by under-recognized mechanisms

What is NOT a good surrogate?



Fleming & DeMets. *Ann Int Med* 1996.



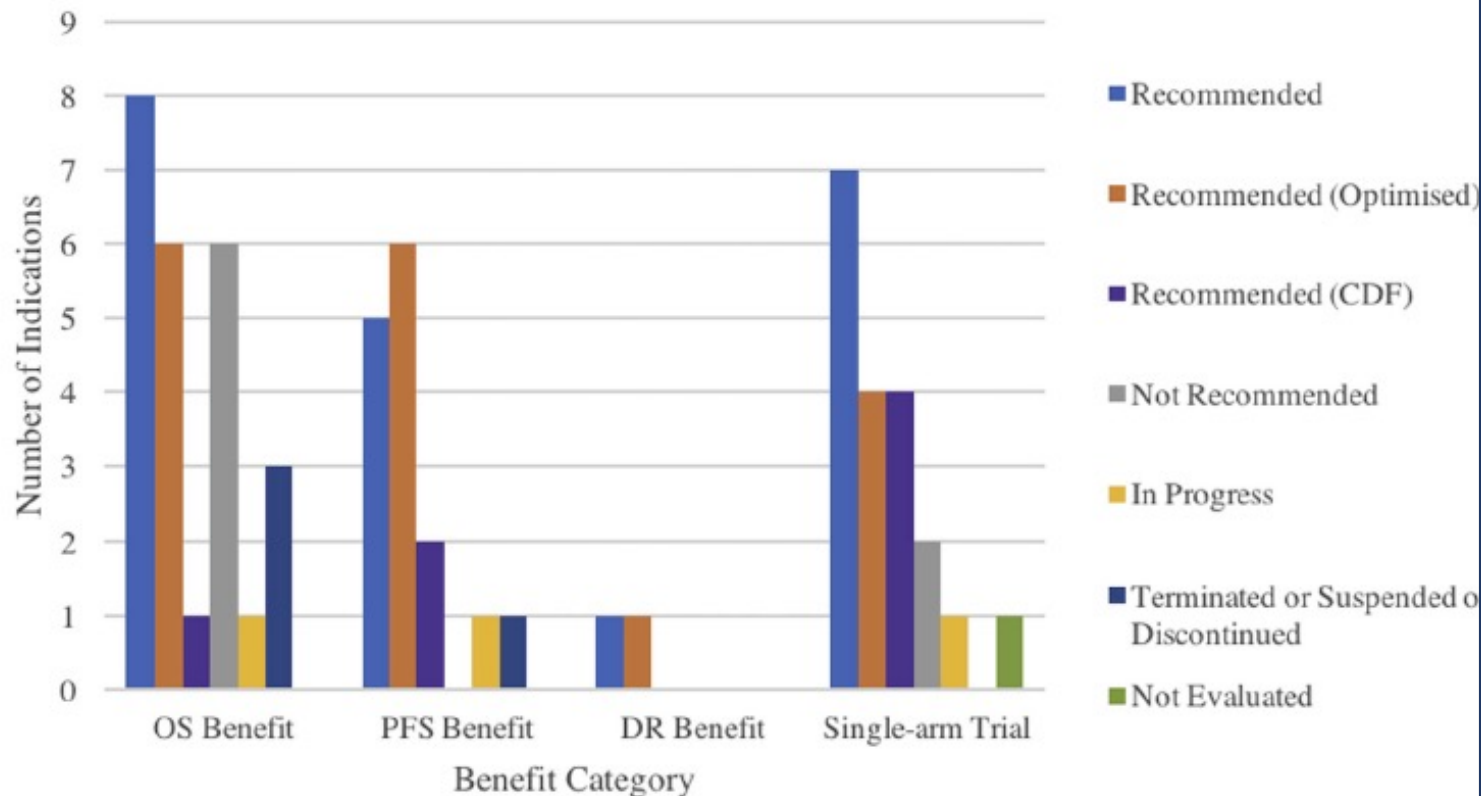
Presence of prognostic factors (known or unknown) correlated both with surrogate and survival

Buyse M et al. *The Oncologist* 2022. *Surrogacy beyond prognosis:...*

How would and should HTAs deal?

Health Policy Analysis

Association Between the Use of Surrogate Measures in Pivotal Trials and Health Technology Assessment Decisions: A Retrospective Analysis of NICE and CADTH Reviews of Cancer Drugs



25 OS-based recommendations

- 15 positive with NICE
- 14 (2) positive with CADTH

14 PFS-based recommendations

- 12 positive with NICE
- 9 (2) positive with CADTH

2 DR-based recommendations

- 2 positive with NICE
- 1 (0) positive with CADTH

** Numbers in parentheses represent recommendations without requirement for improved cost-effectiveness*

How would and should HTAs deal?

CADTH

Classic EBM, Risk of Bias, GRADE

Surrogate is 'indirect evidence' in GRADE

Using Prentice criteria, few surrogate would survive downgrading
*Pembrolizumab for the Adjuv. Tx for RCC at high risk of recurrence
DFS was the surrogate outcome. (2022)*

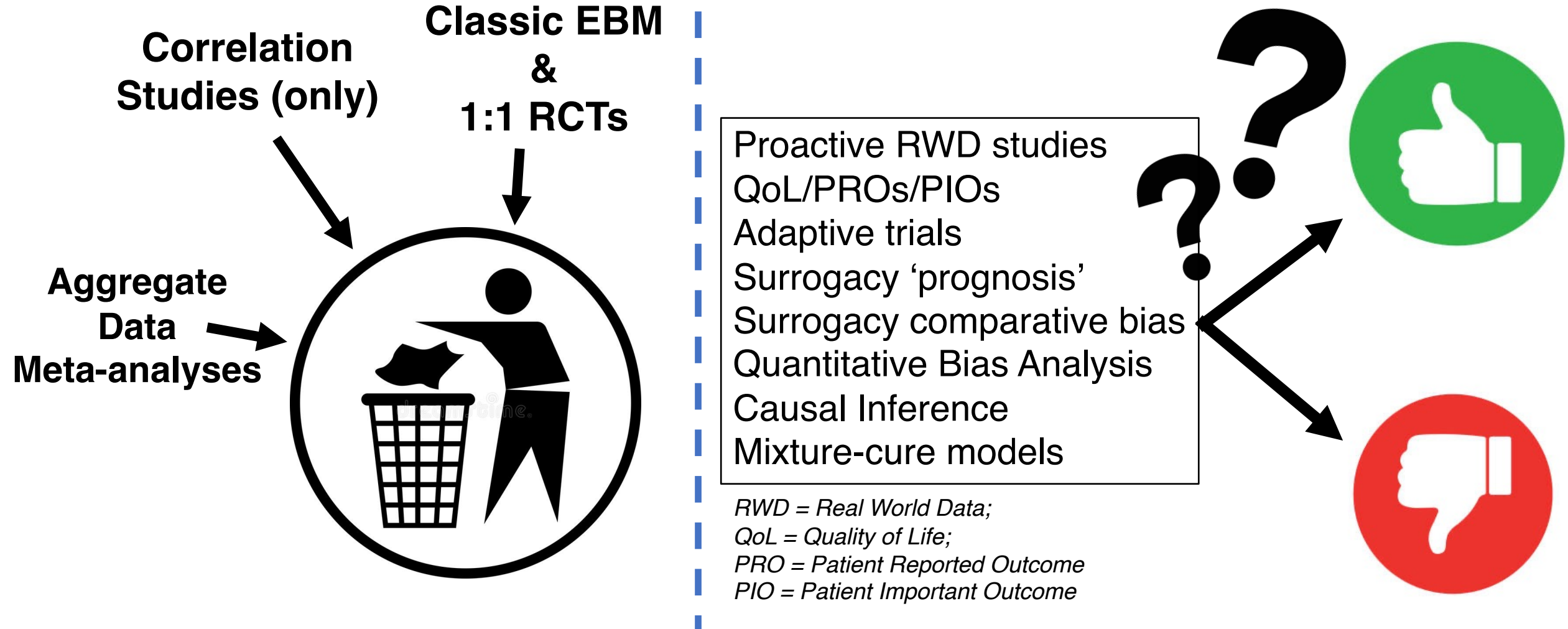
NICE

Can be heavy on stats methods

Has endorsed several novel RWD approaches lately

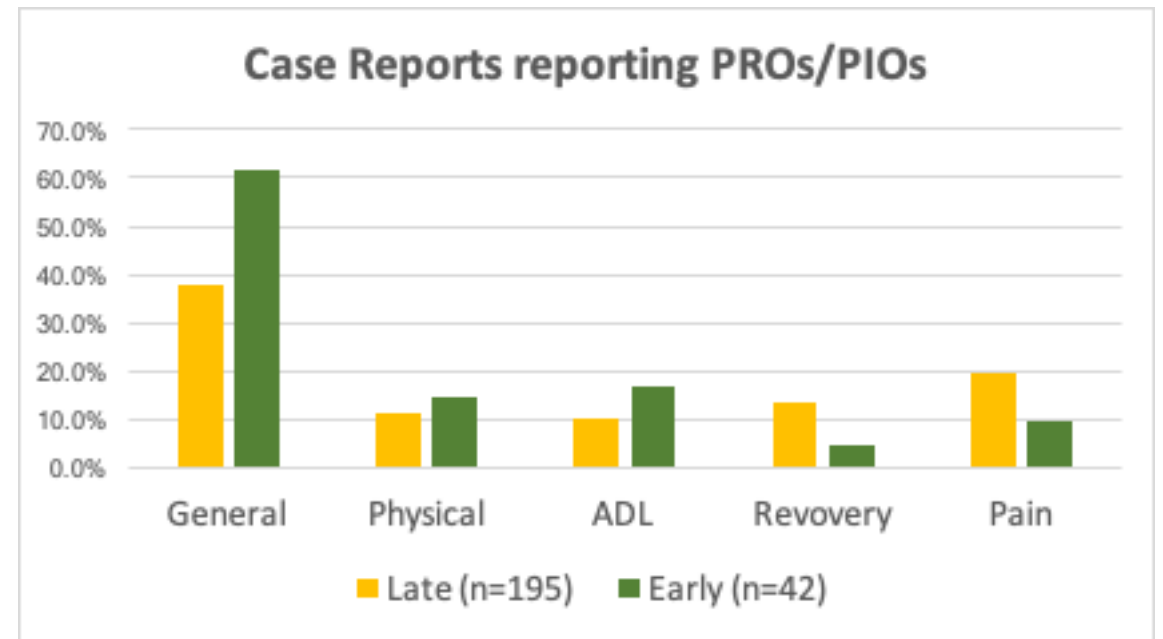
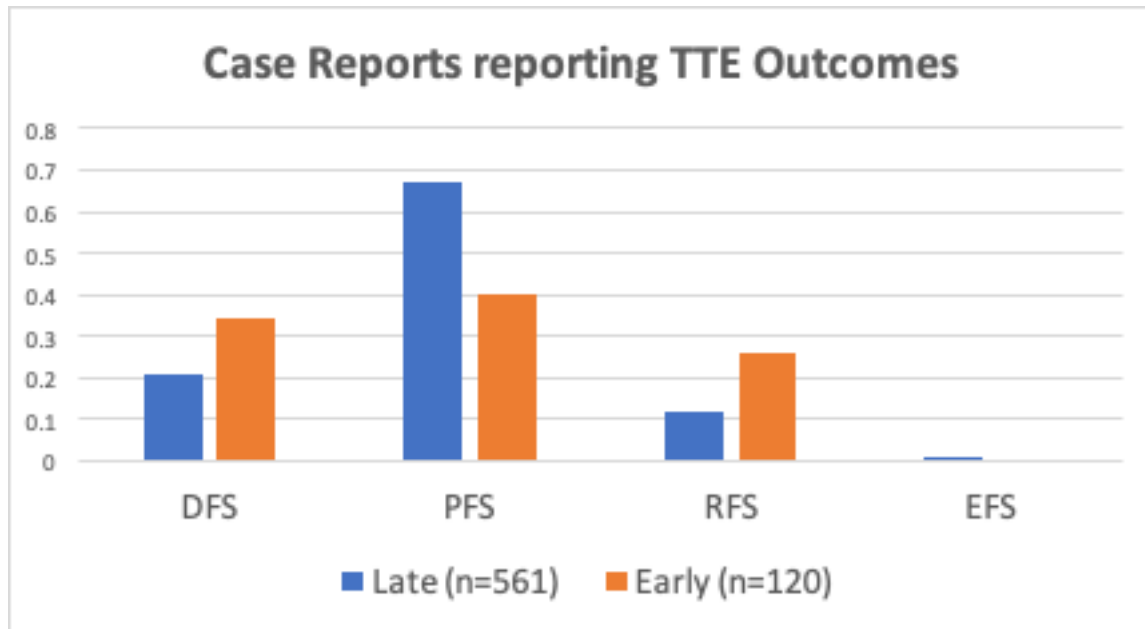
Realistically, most surrogate outcomes still won't make it
*Atezolizumab for the resected Stage I-IIIa NSCLC
DFS was the surrogate outcome. (2022)*

Bad habits to shed, new ones to adopt



What might RWD studies look like?

1,000 randomly selected published oncology case reports from 2016-2021 in Lung, Breast, Colorectal, Pancreatic, Bladder and Hematological Cancers

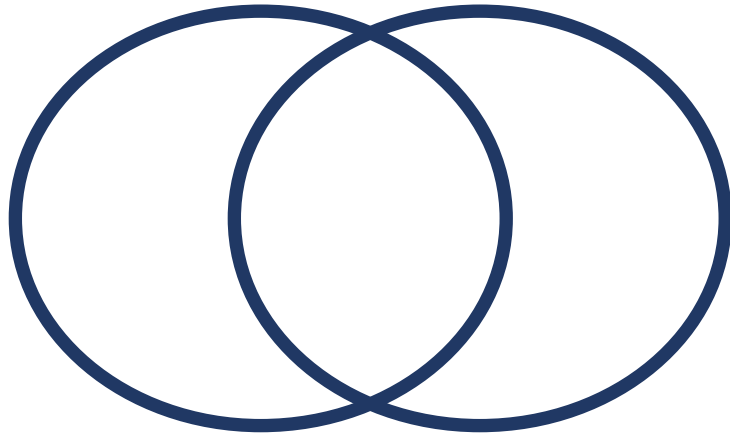


(pre-launch Oct 2022) www.opencase.ai

Beyond correlation – prognostic accuracy and bias

Surrogate

OS/QoL



ImmunoTx

Predictions	Surrogate+	Surrogate-
OS/QoL+	85%	10%
OS/QoL-	15%	90%

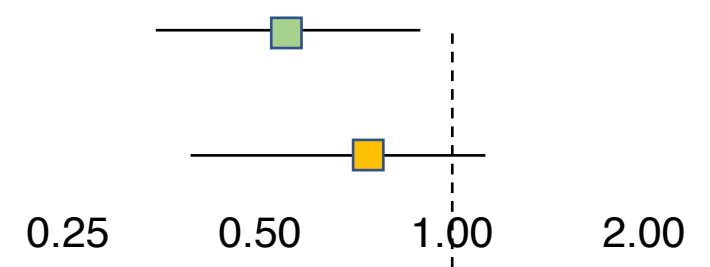
SOC

Predictions	Surrogate+	Surrogate-
OS/QoL+	95%	20%
OS/QoL-	5%	80%

Hazard Ratio

Surrogate

OS

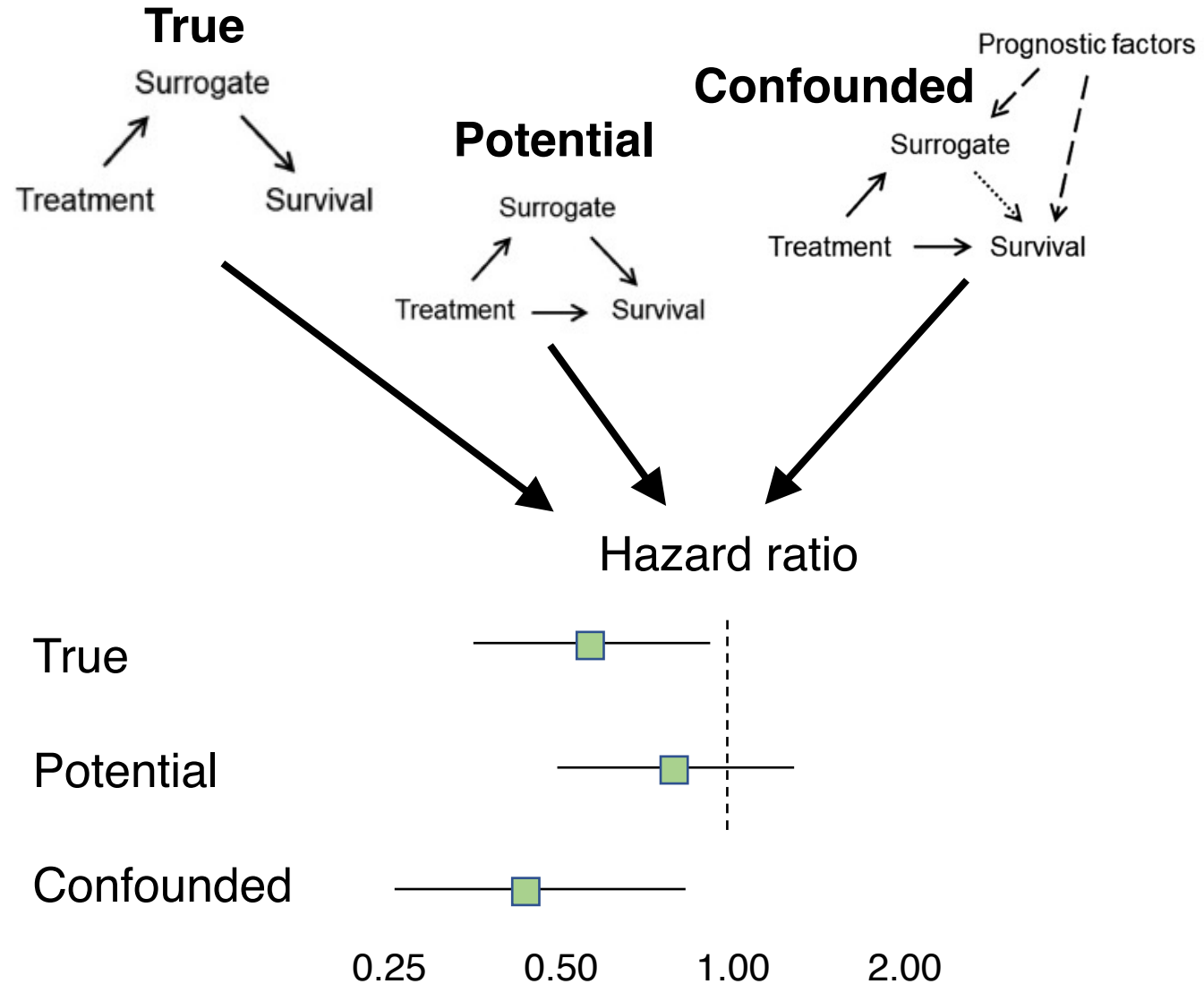


Quantitative Bias Analysis

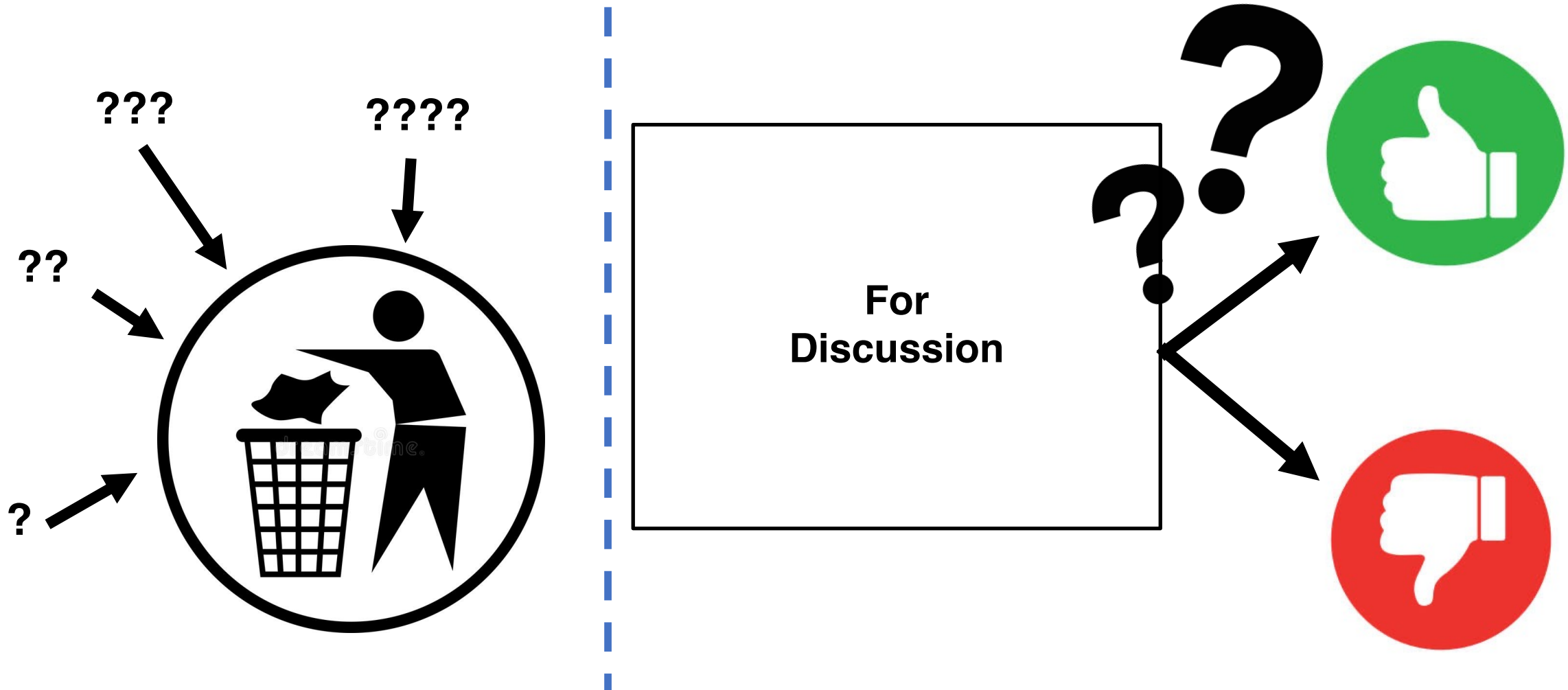
Unmeasured confounding in nonrandomized studies: quantitative bias analysis in health technology assessment

Thomas P Leahy¹, Seamus Kent², Cormac Sammon¹, Rolf HH Groenwold³, Richard Grieve⁴, Sreeram Ramagopalan^{*,5} & Manuel Gomes⁶

Quantitative bias analysis (QBA) is a broad collection of approaches for modeling the magnitude and direction of systematic errors (bias) in the data that cannot otherwise be adjusted for



Time to discuss...



Lisa Machado

**Executive Director, Canadian CML Network and
Executive Producer, healthing.ca**

“Early-Stage Cancer Therapies: How Can Canadian Evaluators and Funders Adapt to Review Treatments with Surrogate Endpoints?”

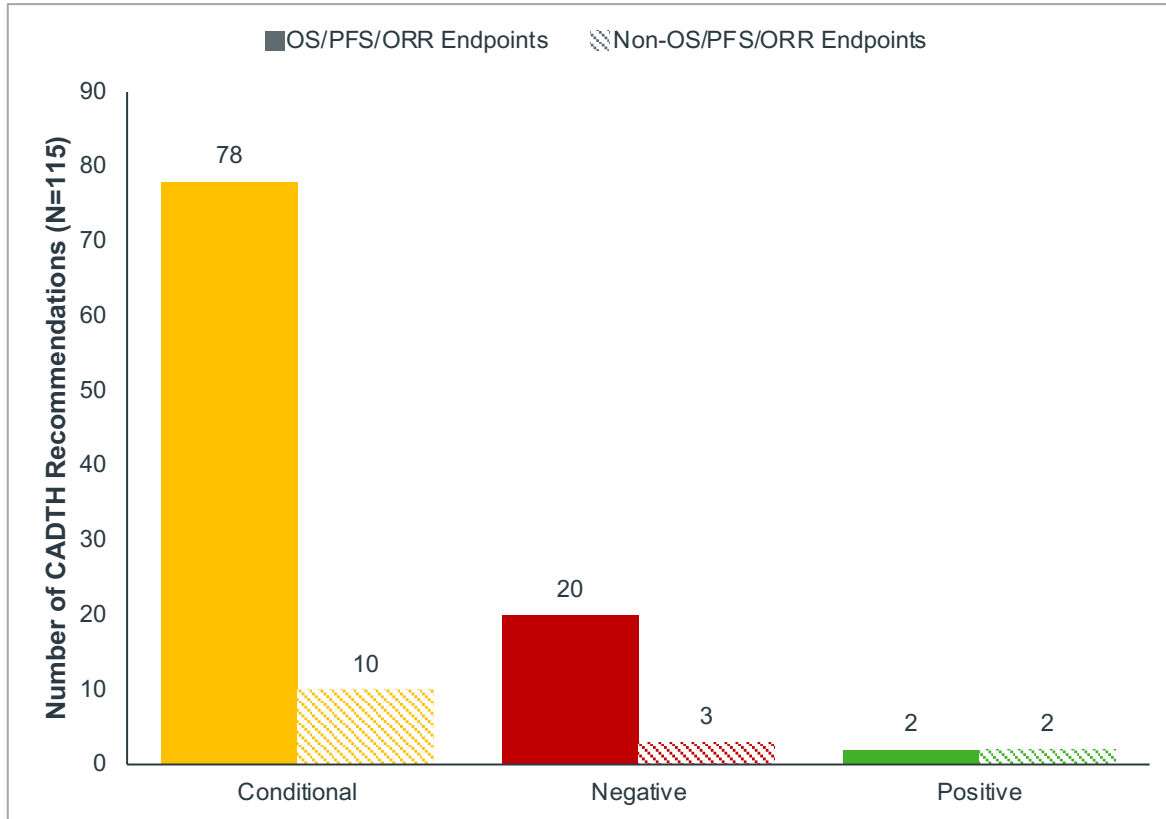
October 18, 2022

Tara Bourgoin, Sr. Consultant

IQVIA Real World Solutions

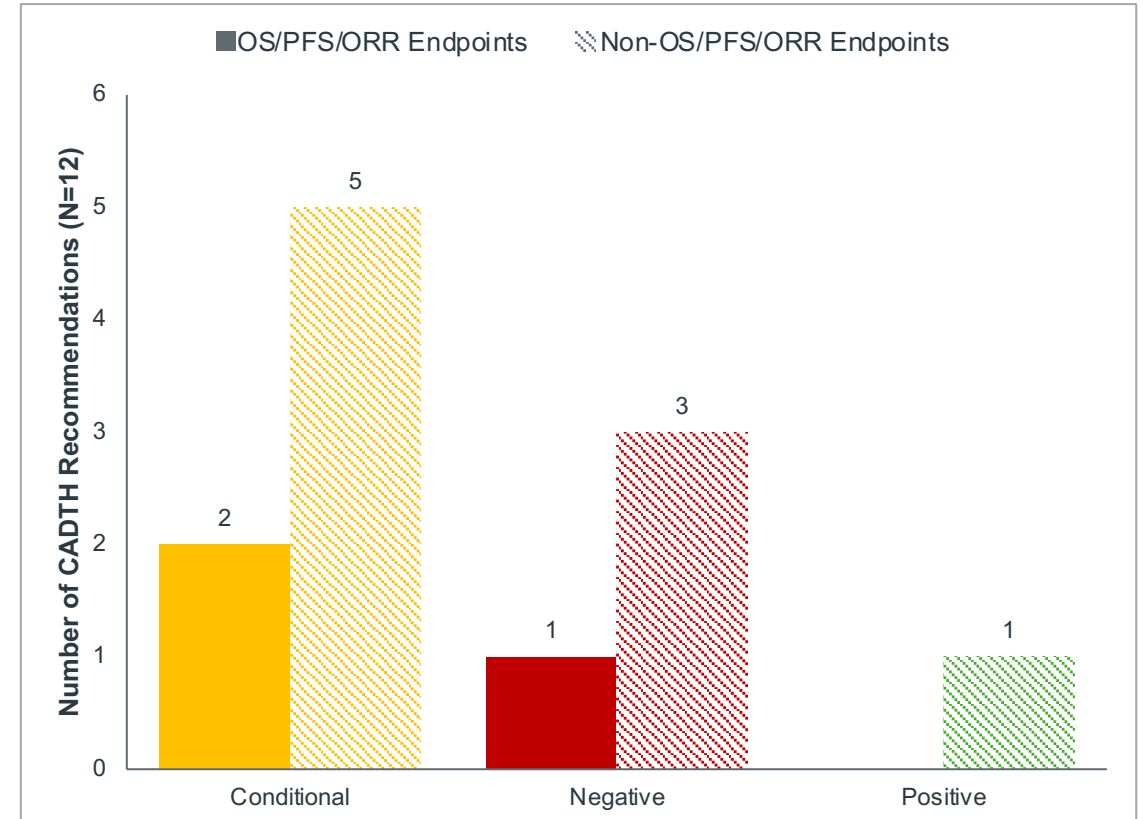
Evaluation of CADTH recommendations demonstrates a proportionally higher use of non-traditional endpoints for early-stage cancers

Recommendations – All oncology indications and disease stages



115 CADTH recommendations from Jan 2017 – Dec 2021 were evaluated and included **all indications and disease stages**. Recommendations assessed did not include the following: Resubmissions, submissions with 2nd pCPA attempt, non-manufacturer submissions, or those for gene therapies or biosimilars.

Recommendations – Early-stage solid tumours

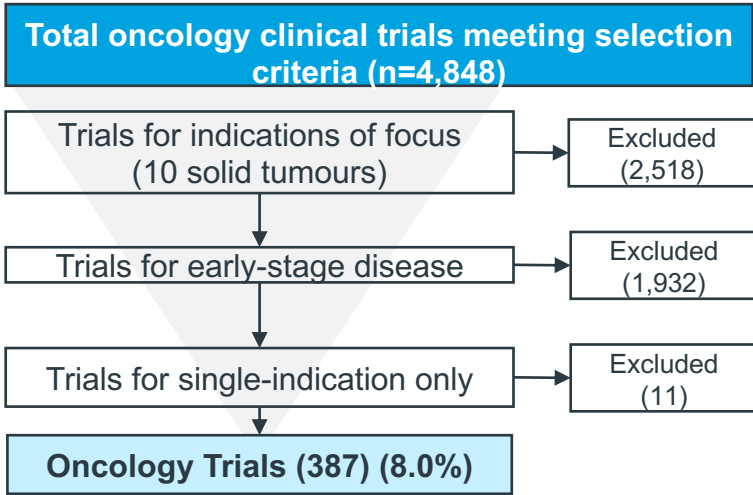


12 CADTH recommendations from Jan 2017 – Mar 2021 were evaluated and included **only solid tumours in early-stage disease**. Recommendations assessed did not include the following: Resubmissions, submissions with 2nd pCPA attempt, non-manufacturer submissions, or those for gene therapies or biosimilars.

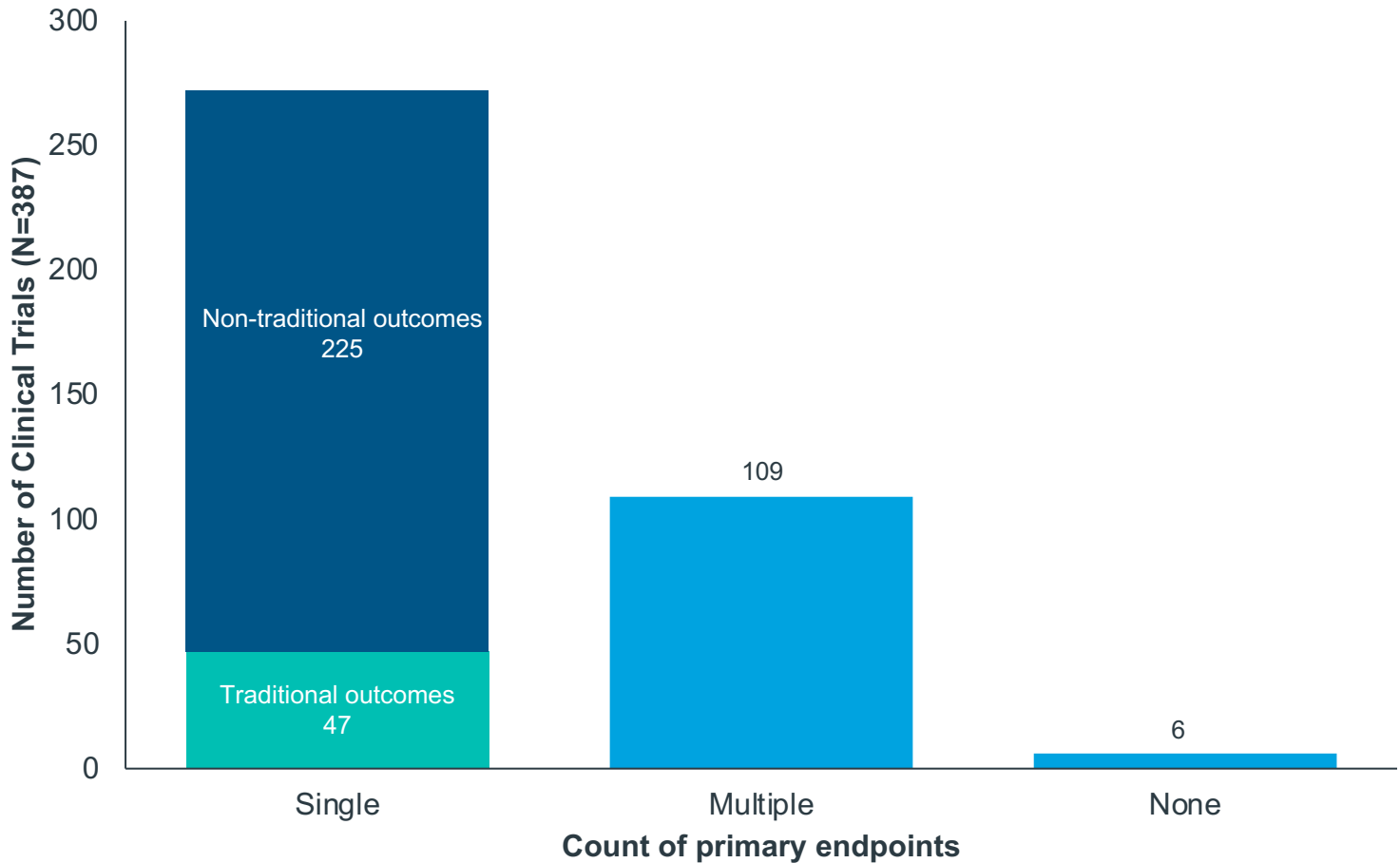
An independent study of clinical trials in early-stage disease for solid tumours was conducted to estimate the potential impact of non-traditional endpoints on future HTA

Selection Criteria

- Trial type:** Interventional clinical trials
- Trial timing:** Start date of Jan 2017 - Mar 2022
- Sponsor:** Industry
- Study Phase:** Phase 2 and 3
- Status:** not withdrawn, suspended or completed
- Top 10 tumor types:** Lung, Breast, Prostate, Melanoma, Ovarian, Colorectal, Pancreatic, Esophageal, Gastric, Bladder (single indication)
- Disease stage:** Early stage, non metastatic, non invasive, localized, Stage I-III

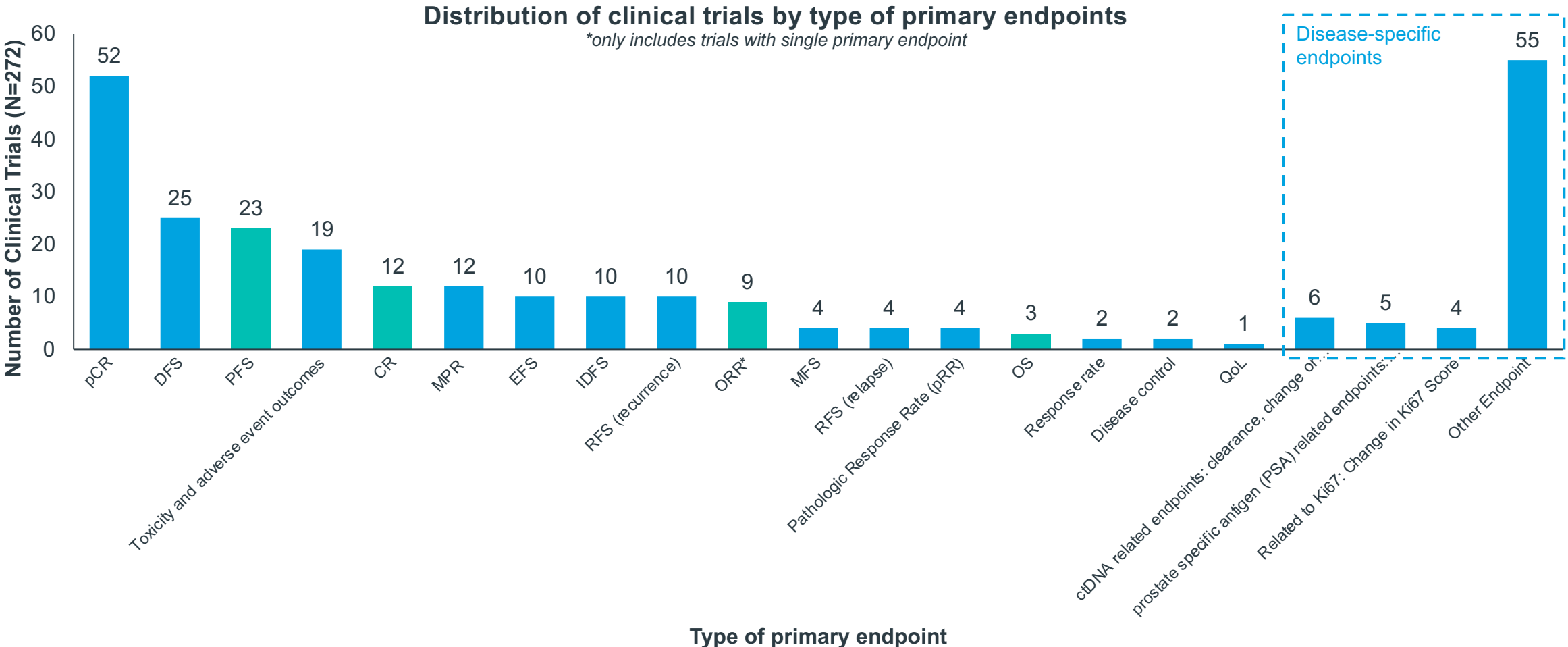


Distribution of clinical trials for early-stage solid tumours by number of primary endpoints



Source: clinicaltrials.gov
 Analysis conducted by IQVIA, and sponsored by AstraZeneca

~82% of clinical trials in early-stage disease with a single primary endpoint include non-traditional outcomes; type of frequency of outcome is varied



Abbreviations: CR; complete response; DFS, Disease free survival; EFS, event free survival; IDFS, Invasive disease free survival; MFS, Metastasis free survival; MPR, major pathological response; ORR, overall response rate; OS, overall survival; pCR, Pathologic complete response; PFS, progression free survival; QoL, quality of life; RFS (recurrence free survival); RFS (relapse free survival)
 *Includes eight clinical trials for lung cancer and one for melanoma